

09/734, 801.

COFC



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Patent No. 6,958,213 B2  
Issued: October 25, 2005  
To: Roland Carlsson, Ann-Christin Malmborg Hager,  
Christina Furebring, Carl Borrebaeck  
Title: METHOD FOR IN VITRO MOLECULAR EVOLUTION  
OF PROTEIN FUNCTION

**Certificate of Mailing Under 37 CFR §1.8(a)**

I hereby certify that this correspondence is being deposited on January 11, 2006 with the United States Postal Service as first class mail in an envelope addressed to COMMISSIONER OF PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450.

January 11, 2006  
Date of Certificate

Jane C. Bogan  
Jane C. Bogan

**Certificate**  
JAN 19 2006  
**of Correction**

To the Hon. Commissioner  
for Patents

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER § 1.323**

Enclosed herewith are three (3) sheets of Certificate of Correction Form PTO/SB/44 showing a Sequence Listing which was inadvertently omitted from the above-identified patent and the SEQ ID NOs in the specification. The two sequences listed on the Certificate of Correction submitted herewith do not constitute new matter, as these sequences appear in column 12 of the above-referenced patent. Applicants submit herewith the required fee under 37 C.F.R. §1.20(a) in the amount of \$100.00 to correct the indicated errors present in the specification and claims. In the event that any additional fee is required in connection with this submission and not enclosed, the Commissioner is authorized to charge such fee to the account of the undersigned attorneys, Account No. 04-1406. A duplicate copy of this sheet is enclosed.

It is respectfully requested that a Certificate of Correction be issued to correct the above-noted errors.

Respectfully submitted,  
DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant

By Kathleen D. Rigaut  
Kathleen D. Rigaut, Ph.D., J.D.  
PTO Registration No. 43,047

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01/17/2006 TBESHAW1 00000027 6958213

01 FC:1811

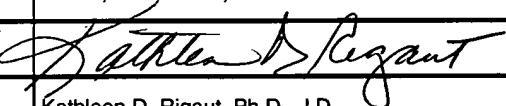
100.00 0P

JAN 19 2006

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>		Application Number	Patent No. 6,958,213 (App. No. 09/734,801)	
(to be used for all correspondence after initial filing)		Filing Date	December 12, 2000 / Issued: October 25, 2005	
JAN 13 2006 U.S. PATENT AND TRADEMARK OFFICE		First Named Inventor	Roland Carlsson	
		Art Unit	1637	
		Examiner Name	Suryaprabha Chunduru	
Total Number of Pages in This Submission		6	Attorney Docket Number	0046-P02386US0

<b>ENCLOSURES (Check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1 Sheet of Request for Certificate of Correction 3 Sheets of Certificate of Correction
Remarks			
In the event the that a fee is required in connection with this submission and not enclosed, the Commissioner is authorized to charge such fee to the account of the undersigned attorneys, Account No. 04-1406. A duplicate copy of this sheet is enclosed.			

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm Name	DANN, DOBFMAN, HERRELL AND SKILLMAN - Cusotmer No. 000110		
Signature			
Printed name	Kathleen D. Rigaut, Ph.D., J.D.		
Date	January 10, 2006	Reg. No.	43,047

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Jane C. Bogan	Date	January 11, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JAN 19 2006

 <b>FEE TRANSMISSION</b>		<i>Complete if known</i> Patent No. 6,958,213 B2 (App. No. 09/734,801) Issued : October 25, 2005 / Filing Date: December 12, 2000 First Named Inventor Roland Carlsson Group Art Unit 1637 Examiner Name Suryapabha Chunduru Attorney Docket Number 0046-P02386US0  TOT. AMT. OF PAYMENT: (1)+(2)+(3) = \$ <b>100.00</b>	
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																					
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge indicated fees <input checked="" type="checkbox"/> Charge additional fees <input checked="" type="checkbox"/> Credit overpayments  to the account of DANN, DORFMAN, HERRELL AND SKILLMAN Deposit Account Number <u>04-1406</u>  2. Payment enclosed: Checks in the amount of \$ <u>100.00</u>		3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 40%;">Fee Description</th> <th style="text-align: right; width: 60%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Surcharge-late filing fee or oath</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Surcharge - late provisional filing fee or cover sheet</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Non-English specification</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>For filing a request for reexamination</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Requesting publication of SIR prior to Examiner action</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Requesting publication of SIR after Examiner action</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within first month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within second month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within third month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Extension for response within fourth month</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Notice of Appeal</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Filing a brief in support of an appeal</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Request for oral hearing</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petition to institute a public use proceeding</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petition to revive unavoidably abandoned application</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petition to revive unintentionally abandoned application</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Issue fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petitions to the Commissioner</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Petitions related to provisional applications</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Submission of Information Disclosure Stmt</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Recording each patent assignment per property (times number of properties)</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td style="text-align: right;">_____</td> </tr> <tr> <td>Other fee (specify) <u>Certificate of Correction</u></td> <td style="text-align: right; vertical-align: bottom;"><u>100.00</u></td> </tr> <tr> <td>Other fee (specify) _____</td> <td style="text-align: right;">_____</td> </tr> </tbody> </table>		Fee Description	Fee Paid	Surcharge-late filing fee or oath	_____	Surcharge - late provisional filing fee or cover sheet	_____	Non-English specification	_____	For filing a request for reexamination	_____	Requesting publication of SIR prior to Examiner action	_____	Requesting publication of SIR after Examiner action	_____	Extension for response within first month	_____	Extension for response within second month	_____	Extension for response within third month	_____	Extension for response within fourth month	_____	Notice of Appeal	_____	Filing a brief in support of an appeal	_____	Request for oral hearing	_____	Petition to institute a public use proceeding	_____	Petition to revive unavoidably abandoned application	_____	Petition to revive unintentionally abandoned application	_____	Issue fee	_____	Petitions to the Commissioner	_____	Petitions related to provisional applications	_____	Submission of Information Disclosure Stmt	_____	Recording each patent assignment per property (times number of properties)	_____	Filing a submission after final rejection (37 CFR 1.129(a))	_____	For each additional invention to be examined (37 CFR 1.129(b))	_____	Other fee (specify) <u>Certificate of Correction</u>	<u>100.00</u>	Other fee (specify) _____	_____
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Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Reg. Number 43,047

Signature 

Date January 11, 2006

Deposit Account User ID  
04-1406

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

Page 1 of 3

PATENT NO. : 6,958,213 B2

APPLICATION NO.: 09/734,801

ISSUE DATE : October 25, 2005

INVENTOR(S) : Roland Carlsson, Ann-Christin Malmborg Hager, Christina Furebring, Carl Borreback

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

## SEQUENCE LISTING

<110> Carlsson, Roland  
Hager, Ann-Christin Malmborg  
Furebring, Christina  
Borrebaeck, Carl

<120> Method for In Vitro Molecular  
Evolution of Protein Function

<130> 0046-P02386US0

<140> 09/734,801  
<141> 2000-12-12

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<170> FastSEQ for Windows Version 3.0

<210> 1  
<211> 44  
<212> DNA  
<213> Artificial Sequence

## MAILING ADDRESS OF SENDER (Please do not use customer number below):

Dann Dorfman Herrell and Skillman  
1601 Market Street, Suite 2400  
Philadelphia, Pennsylvania 19103

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<223> primer

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<210> 2

<211> 35

<212> DNA

<213> Artificial Sequence

<220>

<223> primer

<400> 2

ttagagcctg cggccgcctt gtcatcgctg tcctt 35

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Column 12, line 8: AAG CTC GA (SEQ ID NO: 1)

Column 12, line 12: (SEQ ID NO: 2)

Column 12, line 18: AAG CTC GA (SEQ ID NO: 1)

Column 12, line 22: (SEQ ID NO: 2)

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